

# Asymptotic homomorphisms into the Calkin algebra <sup>\*</sup>

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## Abstract

Let  $A$  be a separable  $C^*$ -algebra and let  $B$  be a stable  $C^*$ -algebra with a strictly positive element. We consider the (semi)group  $\text{Ext}^{as}(A, B)$  (resp.  $\text{Ext}(A, B)$ ) of homotopy classes of asymptotic (resp. of genuine) homomorphisms from  $A$  to the corona algebra  $M(B)/B$  and the natural map  $i : \text{Ext}(A, B) \longrightarrow \text{Ext}^{as}(A, B)$ . We show that if  $A$  is a suspension then  $\text{Ext}^{as}(A, B)$  coincides with  $E$ -theory of Connes and Higson and the map  $i$  is surjective. In particular any asymptotic homomorphism from  $SA$  to  $M(B)/B$  is homotopic to some genuine homomorphism.

## 1 Introduction

Let  $A, B$  be  $C^*$ -algebras. Remind [4] that a collection of maps

$$\varphi = (\varphi_t)_{t \in [1, \infty)} : A \longrightarrow B$$

is called an asymptotic homomorphism if for every  $a \in A$  the map  $t \mapsto \varphi_t(a)$  is continuous and if for any  $a, b \in A$ ,  $\lambda \in \mathbf{C}$  one has

$$\lim_{t \rightarrow \infty} \|\varphi_t(ab) - \varphi_t(a)\varphi_t(b)\| = 0;$$

$$\lim_{t \rightarrow \infty} \|\varphi_t(a + \lambda b) - \varphi_t(a) - \lambda\varphi_t(b)\| = 0;$$

$$\lim_{t \rightarrow \infty} \|\varphi_t(a^*) - \varphi_t(a)^*\| = 0.$$

Two asymptotic homomorphisms  $\varphi^{(0)}$  and  $\varphi^{(1)}$  are homotopic if there exists an asymptotic homomorphism  $\Phi$  from  $A$  to  $B \otimes C[0, 1]$  such that its compositions with the evaluation maps at 0 and at 1 coincide with  $\varphi^{(0)}$  and  $\varphi^{(1)}$  respectively. The set of homotopy classes of asymptotic homomorphisms from  $A$  to  $B$  is denoted by  $[[A, B]]$  [4, 5].

Throughout this paper we always assume that  $A$  is *separable* and that  $B$  has a strictly positive element and is stable,  $B \cong B \otimes \mathcal{K}$ , where  $\mathcal{K}$  denotes the  $C^*$ -algebra of compact operators. We will sometimes write  $B = B_1 \otimes \mathcal{K}$ , where  $B_1 = B$ , to distinguish  $B$  from  $B \otimes \mathcal{K}$  when necessary.

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By  $\text{Ext}(A, B)$  we denote the set of homotopy classes of extensions of  $A$  by  $B$ . We identify extensions with homomorphisms into the Calkin algebra  $Q(B) = M(B)/B$  by the Busby invariant [3]. Two extensions  $f_0, f_1 : A \longrightarrow Q(B)$  are homotopic if there exists an extension  $F : A \longrightarrow Q(B \otimes C[0, 1])$  such that its composition with the evaluation maps at 0 and at 1 coincide with  $f_0$  and  $f_1$  respectively.

Similarly we denote by  $\text{Ext}^{as}(A, B)$  the set of homotopy classes of asymptotic homomorphisms from  $A$  to  $Q(B)$ . Two asymptotic homomorphisms

$$\varphi^{(i)} = (\varphi_t^{(i)})_{t \in [1, \infty)} : A \longrightarrow Q(B), \quad i = 0, 1,$$

are homotopic if there exists an asymptotic homomorphism  $\Phi = (\Phi_t)_{t \in [1, \infty)} : A \longrightarrow Q(B \otimes C[0, 1])$  such that its compositions with the evaluation maps at 0 and at 1 coincide with  $\varphi^{(0)}$  and  $\varphi^{(1)}$  respectively. Asymptotic homomorphisms into  $Q(B)$  are sometimes called *asymptotic extensions*.

All these sets are equipped with a natural group structure when  $A$  is a suspension, i.e.  $A = SD = C_0(\mathbf{R}) \otimes D$  for some  $C^*$ -algebra  $D$ .

As every genuine homomorphism can be viewed as an asymptotic one, so we have a natural map

$$i : \text{Ext}(A, B) \longrightarrow \text{Ext}^{as}(A, B). \quad (1)$$

It is well-known that usually there is much more asymptotic homomorphisms than genuine ones, e.g. for  $A = C_0(\mathbf{R}^2)$  all genuine homomorphisms of  $A$  into  $\mathcal{K}$  are homotopy trivial though the group  $[[C_0(\mathbf{R}^2), \mathcal{K}]]$  coincides with  $K_0(C_0(\mathbf{R}^2)) = \mathbf{Z}$  via the Bott isomorphism.

The main purpose of the paper is to prove epimorphity of the map (1) when  $A$  is a suspension. This makes a contrast with the case of mappings into the compacts. As a by-product we get another description of the  $E$ -theory in terms of asymptotic extensions.

The main tool in this paper is the Connes–Higson map [4]

$$CH : \text{Ext}(A, B) \longrightarrow [[SA, B]],$$

which plays an important role in  $E$ -theory. Remind that for  $f \in \text{Ext}(A, B)$  this map is defined by  $CH(f) = (\varphi_t)_{t \in [1, \infty)}$ , where  $\varphi$  is given by

$$\varphi_t : \alpha \otimes a \longmapsto \alpha(u_t)f'(a), \quad a \in A, \alpha \in C_0(0, 1).$$

Here  $f' : A \longrightarrow M(B)$  is a set-theoretic lifting for  $f : A \longrightarrow Q(B)$  and  $u_t \in B$  is a quasicontral approximate unit [1] for  $f'(A)$ . We are going to show that by fine tuning of this quasicontral approximate unit one can define also a map

$$\widetilde{CH} : \text{Ext}^{as}(A, B) \longrightarrow [[SA, B]]$$

extending  $CH$  and completing the commutative triangle diagram

$$\begin{array}{ccc} \text{Ext}(A, B) & \xrightarrow{i} & \text{Ext}^{as}(A, B) \\ CH \searrow & & \downarrow \widetilde{CH} \\ & & [[SA, B]]. \end{array}$$

We will show that the map  $\widetilde{CH}$  is a isomorphism when  $A$  is a suspension.

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## 2 An extension of the Connes–Higson map

A useful tool for working with asymptotic homomorphisms is the possibility of discretization suggested in [12, 9, 11]. Let  $\text{Ext}_{discr}^{as}(A, B)$  denote the set of homotopy classes of discrete asymptotic homomorphisms  $\varphi = (\varphi_n)_{n \in \mathbf{N}} : A \longrightarrow Q(B)$  with the additional crucial property suggested by Mishchenko: for every  $a \in A$  one has

$$\lim_{n \rightarrow \infty} \|\varphi_{n+1}(a) - \varphi_n(a)\| = 0. \quad (2)$$

In a similar way we define a set  $[[A, B]]_{discr}$  as a set of homotopy classes of discrete asymptotic homomorphisms with the property (2).

**Lemma 2.1** *One has  $[[A, B]] = [[A, B]]_{discr}$ ,  $\text{Ext}^{as}(A, B) = \text{Ext}_{discr}^{as}(A, B)$ .*

**Proof.** The first equality is proved in [10]. The second one can be proved in the same way. For an asymptotic homomorphism  $\varphi = (\varphi_t)_{t \in [1, \infty)} : A \longrightarrow Q(B)$  one can find an infinite sequence of points  $\{t_i\}_{i \in \mathbf{N}} \subset [1, \infty)$  satisfying the following properties

- i) the sequence  $\{t_i\}_{i \in \mathbf{N}}$  is non-decreasing and approaches infinity;
- ii) for every  $a \in A$  one has  $\lim_{i \rightarrow \infty} \sup_{t \in [t_i, t_{i+1}]} \|\varphi_t(a) - \varphi_{t_i}(a)\| = 0$ .

Then  $\phi = (\varphi_{t_i})_{i \in \mathbf{N}}$  is a discrete asymptotic homomorphism. It is easy to see that two homotopic asymptotic homomorphisms define homotopic asymptotic homomorphisms and that two discretizations  $\{t_i\}_{i \in \mathbf{N}}$  and  $\{t'_i\}_{i \in \mathbf{N}}$  satisfying the above properties define homotopic discrete asymptotic homomorphisms too, hence the map  $\text{Ext}^{as}(A, B) \longrightarrow \text{Ext}_{discr}^{as}(A, B)$  is well defined. The inverse map is given by linear interpolation of discrete asymptotic homomorphisms.  $\square$

Let  $(\varphi_n)_{n \in \mathbf{N}}$  be a discrete asymptotic homomorphism and let  $(m_n)_{n \in \mathbf{N}}$  be a sequence of numbers  $m_n \in \mathbf{N}$ . Then we call the sequence

$$\underbrace{(\varphi_1, \dots, \varphi_1)}_{m_1 \text{ times}}, \underbrace{(\varphi_2, \dots, \varphi_2)}_{m_2 \text{ times}}, \varphi_3, \dots$$

a *reparametrization* of the sequence  $(\varphi_n)_{n \in \mathbf{N}}$ . It is easy to see that any reparametrization does not change the homotopy class of an asymptotic homomorphism.

**Lemma 2.2** *There exists a sequence of liftings  $\varphi'_n : A \longrightarrow M(B)$  for  $\varphi_n$ , which is continuous uniformly in  $n$ .*

**Proof.** It is easy to see [7] that

$$\lim_{n \rightarrow \infty} \sup_{n \leq k < \infty} \|\varphi_k(a) - \varphi_k(b)\| \leq \|a - b\|$$

for any  $a, b \in A$ . By the Bartle–Graves selection theorem [2], cf. [7] there exists a continuous selection  $s : Q(B) \rightarrow M(B)$ . Put  $\varphi'_n(a) = s\varphi_n(a)$ ,  $a \in A$ .  $\square$

Now we are going to construct the map  $\widetilde{CH} : \text{Ext}^{as}(A, B) \rightarrow [[SA, B]]$ . Due to Lemma 2.1 it is sufficient to define the map  $\widetilde{CH}$  as a map from  $\text{Ext}_{discr}^{as}(A, B)$  to  $[[SA, B]]_{discr}$ .

For  $a, b \in A$ ,  $\lambda \in \mathbf{C}$  put

$$\begin{aligned} P_n(a, b) &= \varphi_n(a)\varphi_n(b) - \varphi_n(ab); \\ L_n(a, b, \lambda) &= \varphi_n(a) + \lambda\varphi_n(b) - \varphi_n(a + \lambda b); \\ A_n(a) &= \varphi_n(a)^* - \varphi_n(a^*) \end{aligned}$$

and define  $P'_n(a, b)$ ,  $L'_n(\lambda, a)$ ,  $A'_n(a)$  in the same way but with the liftings  $\varphi'_n$  instead of  $\varphi_n$ .

In what follows we identify  $B = B_1 \otimes \mathcal{K}$  (resp.  $M(B)$ ) with the  $C^*$ -algebra of compact (resp. adjointable) operators on the standard Hilbert  $C^*$ -module  $B_1 \otimes l_2(\mathbf{N}) = l_2(B_1)$  and use the notion of diagonal operators in  $B$  and  $M(B)$  in this sense. The following Lemma shows how one has to choose a quasicentral approximate unit that makes it possible to define the map  $\widetilde{CH}$ .

**Lemma 2.3** *Let  $(\varphi_n)_{n \in \mathbf{N}} : A \rightarrow Q(B_1 \otimes \mathcal{K})$  be a discrete asymptotic homomorphism. Then there exists a reparametrization of  $(\varphi_n)_{n \in \mathbf{N}}$  and an approximate unit  $(u_n)_{n \in \mathbf{N}} \subset B_1 \otimes \mathcal{K}$  with the following properties:*

i) *for any  $a \in A$  one has*

$$\lim_{n \rightarrow \infty} \|[\varphi'_n(a), u_n]\| = 0;$$

ii) *for any  $\alpha \in C_0(0, 1)$ , for any  $a, b \in A$ ,  $\lambda \in \mathbf{C}$  one has*

$$\lim_{n \rightarrow \infty} \|\alpha(u_n)P'_n(a, b)\| = \lim_{n \rightarrow \infty} \|\alpha(u_n)L'_n(a, b, \lambda)\| = \lim_{n \rightarrow \infty} \|\alpha(u_n)A'_n(a)\| = 0;$$

iii)  $\lim_{n \rightarrow \infty} \|u_{n+1} - u_n\| = 0;$

iv) *every  $u_n$  is a diagonal operator,  $u_n = \text{diag}\{u_n^1, u_n^2, \dots\}$ , where diagonal entries  $u_n^i$  belong to  $B_1$  and*

$$\lim_{i \rightarrow \infty} \sup_n \|u_n^{i+1} - u_n^i\| = 0.$$

**Proof.** Let  $\{F_n\}_{n \in \mathbf{N}}$  be a generating system for  $A$  [4]. This means that every  $F_n \subset A$  is compact,  $\dots \subset F_n \subset F_{n+1} \subset \dots$ ,  $\cup_n F_n$  is dense in  $A$  and one has

$$F_n \cdot F_n \subset F_{n+m(n)}; \quad F_n + \lambda F_n \subset F_{n+m(n)}, \quad (|\lambda| \leq 1); \quad F_n^* \subset F_{n+m(n)}$$

for some integer sequence  $m = (m_n)_{n \in \mathbf{N}}$ . Let also  $\alpha_0 = e^{2\pi i x} - 1 \in C_0(0, 1) \cong C_0(\mathbf{R})$  be a (multiplicative) generator for  $C_0(\mathbf{R})$ .

Put

$$\varepsilon_{n,k} = \sup_{a,b \in F_k, |\lambda| \leq 1} \max(\|P_n(a, b)\|, \|L_n(a, b, \lambda)\|, \|A_n(a)\|).$$

For every fixed  $a, b, \lambda$  the sequences  $(P_n(a, b))$ ,  $(L_n(a, b, \lambda))$  and  $(A_n(a))$  vanish as  $n$  approaches infinity, but the sequence  $(\varepsilon_{n,n})_{n \in \mathbf{N}}$  does not have to vanish. Nevertheless one can reparametrize the sequence  $\{F_n\}$  by a sequence  $k = (k_n)_{n \in \mathbf{N}}$ , which approaches infinity slowly enough and such that  $\varepsilon_{n,k(n)}$  vanishes as  $n \rightarrow \infty$ . Put  $\varepsilon_n = \varepsilon_{n,k(n)}$ . Then

$$\lim_{n \rightarrow \infty} \varepsilon_n = 0. \quad (3)$$

Let  $e = (e_n)_{n \in \mathbf{N}} \subset B$  be an approximate unit in  $B$  and let  $\text{Conv}(e)$  denote its convex hull.

By induction we can choose  $u_n \in \text{Conv}(e)$  in such a way that  $u_n \geq u_{n-1}$  and that the estimates

$$\|[\varphi'_n(a), u_n]\| < \varepsilon_n; \quad (4)$$

and

$$\|\alpha_0(u_n)P'_n(a, b)\| < 2\varepsilon_n; \quad \|\alpha_0(u_n)L'_n(a, b, \lambda)\| < 2\varepsilon_n; \quad \|\alpha_0(u_n)A'_n(a)\| < 2\varepsilon_n \quad (5)$$

hold for any  $a, b \in F_n$  and any  $|\lambda| \leq 1$ .

It is easy to see that the conditions (4-5) together with Lemma 2.2 ensure the first two items of Lemma 2.3.

The above choice of  $(u_n)_{n \in \mathbf{N}}$  does not yet ensure the condition  $\lim_{n \rightarrow \infty} \|u_{n+1} - u_n\| = 0$ . To make it hold we have to renumber the sequence  $(\varphi_n)_{n \in \mathbf{N}}$ . At first divide every segment  $[u_n, u_{n+1}]$  into  $n$  equal segments  $[u_{n_i}, u_{n_{i+1}}]$ ,  $i = 1, \dots, n$ . Then as  $0 \leq u_i \leq 1$  for all  $i$ , so we get  $\|u_{n_{i+1}} - u_{n_i}\| \leq \frac{1}{n}$ . Finally we have to change the sequences  $(\varphi_1, \varphi_2, \varphi_3, \dots)$  and  $(u_1, u_2, u_3, \dots)$  by the sequence  $(\varphi_1, \varphi_2, \varphi_2, \varphi_3, \dots)$ , where each  $\varphi_n$  is repeated  $n$  times, and by the sequence  $(u_{1_1}, u_{2_1}, u_{2_2}, u_{3_1}, u_{3_2}, u_{3_3}, u_{4_1}, \dots)$  respectively.

To prove the last item of Lemma 2.3 remind that an approximate unit  $(e_n)_{n \in \mathbf{N}} \in B = B_1 \otimes \mathcal{K}$  can be chosen to be diagonal,  $e_n = b_n \otimes \epsilon_n$ , where  $(b_n)_{n \in \mathbf{N}} \subset B_1$  and  $(\epsilon_n)_{n \in \mathbf{N}} \subset \mathcal{K}$  are approximate units in  $B_1$  and in  $\mathcal{K}$  respectively, so the quasicentral approximate unit  $(u_n)_{n \in \mathbf{N}} \subset \text{Conv}(e)$  can be made diagonal as well, with diagonal entries from  $B_1$ .

Let  $T$  be the right shift on the standard Hilbert  $C^*$ -module  $l_2(B_1) = B_1 \otimes l_2(\mathbf{N})$ ,  $T \in M(\mathcal{K}) \subset M(B_1 \otimes \mathcal{K})$ . We can join  $S$  to the sets  $\varphi'_n(F_n)$  in (4) when constructing the sequence  $(u_n)$ . Then the sequence  $[T, u_n] \in B_1 \otimes \mathcal{K}$  would vanish as  $n$  approaches infinity. Hence

$$\lim_{n \rightarrow \infty} \sup_i \|u_n^{i+1} - u_n^i\| = 0 \quad (6)$$

and the operators

$$\text{diag}\{u_n^2 - u_n^1, u_n^3 - u_n^2, u_n^4 - u_n^3, \dots\}$$

are compact, so  $\lim_{i \rightarrow \infty} \|u_n^{i+1} - u_n^i\| = 0$ . Take  $\varepsilon > 0$ . By (6) there exists some  $N$  such that for all  $n > N$  one has  $\sup_i \|u_n^{i+1} - u_n^i\| < \varepsilon$ . Now consider the finite number of compact operators  $\text{diag}\{u_n^2 - u_n^1, u_n^3 - u_n^2, u_n^4 - u_n^3, \dots\}$ ,  $1 \leq n \leq N$ . Due to their compactness there exists some  $I$  such that for  $i > I$  one has  $\|u_n^{i+1} - u_n^i\| < \varepsilon$  for  $1 \leq n \leq N$ . Therefore for  $i > I$  we have  $\|u_n^{i+1} - u_n^i\| < \varepsilon$  for every  $n$ , i.e.  $\sup_n \|u_n^{i+1} - u_n^i\| < \varepsilon$ .  $\square$

Put now

$$\widetilde{CH}(\varphi)_n(\alpha \otimes a) = \alpha(u_n)\varphi'_n(a), \quad \alpha \in C_0(0, 1), \quad a \in A,$$

where  $(u_n)_{n \in \mathbf{N}}$  satisfies the conditions of Lemma 2.3. Items *i*) – *iii*) of Lemma 2.3 ensure that  $(\widetilde{CH}(\varphi)_n)_{n \in \mathbf{N}}$  is a discrete asymptotic homomorphism from  $SA$  to  $B$ . If  $(u_n)_{n \in \mathbf{N}}$  and  $(v_n)_{n \in \mathbf{N}}$  are two quasicentral approximate unities satisfying Lemma 2.3 then the linear homotopy  $(tu_n + (1 - t)v_n)_{n \in \mathbf{N}}$  provides that the maps  $\widetilde{CH}$  defined using these approximate unities are homotopic. Finally, if  $\varphi$  and  $\psi$  represent the same homotopy class in  $\text{Ext}_{discr}^{as}(A, B)$  then  $\widetilde{CH}(\varphi)$  and  $\widetilde{CH}(\psi)$  are homotopic. If all  $\varphi_n$  are constant,  $\varphi_n = f : A \longrightarrow Q(B)$  with  $f$  being a genuine homomorphism, then obviously  $CH(f) = \widetilde{CH}(\varphi)$ , so we have

**Lemma 2.4** *The map  $\widetilde{CH} : \text{Ext}^{as}(A, B) \longrightarrow [[SA, B]]$  is well defined and the diagram*

$$\begin{array}{ccc} \text{Ext}(A, B) & \xrightarrow{i} & \text{Ext}^{as}(A, B) \\ & CH \searrow & \downarrow \widetilde{CH} \\ & & [[SA, B]]. \end{array}$$

*is commutative.*  $\square$

### 3 An inverse for $\widetilde{CH}$

Let  $\alpha_0 = e^{2\pi i x} - 1$  be a generator for  $C_0(0, 1)$  and let  $T$  be the right shift on the Hilbert space  $l_2(\mathbf{N})$ . By  $q : M(B) \longrightarrow Q(B)$  we denote the quotient map. Define a homomorphism

$$g : C_0(0, 1) \longrightarrow Q(\mathcal{K}) \quad \text{by} \quad g(\alpha_0) = q(T) - 1.$$

Remind that  $B$  is stable and denote by  $\iota : Q(B) \otimes \mathcal{K} \subset Q(B)$  the standard inclusion. Put

$$j = \iota \circ (g \otimes \text{id}_B) : SB \longrightarrow Q(\mathcal{K}) \otimes B \subset Q(B).$$

The homomorphism  $j$  obviously induces a map

$$j_* : [[A, SB]] \longrightarrow \text{Ext}^{as}(A, B).$$

Let  $S : [[A, B]] \longrightarrow [[SA, SB]]$  denote the suspension map. Then the composition  $M = j_* \circ S$  gives a map

$$M : [[A, B]] \longrightarrow \text{Ext}^{as}(SA, B).$$

Let

$$\beta = (\beta_n)_{n \in \mathbf{N}} : C_0(\mathbf{R}^2) \longrightarrow \mathcal{K} \tag{7}$$

be a discrete asymptotic homomorphism representing a generator of  $[[C_0(\mathbf{R}^2), \mathcal{K}]]$ . For a discrete asymptotic extension  $\varphi = (\varphi_n)_{n \in \mathbf{N}} : A \longrightarrow Q(B)$  consider its tensor product by  $\beta$

$$\varphi \otimes \beta = (\varphi_n \otimes \beta_n)_{n \in \mathbf{N}} : S^2 A \longrightarrow Q(B) \otimes \mathcal{K}$$

and denote its composition with the standard inclusion  $Q(B) \otimes \mathcal{K} \subset Q(B)$  by

$$Bott_1 = \iota \circ (\varphi \otimes \beta) : \text{Ext}^{as}(A, B) \longrightarrow \text{Ext}^{as}(S^2 A, B).$$

In a similar way define a map

$$Bott_2 : [[A, B]] \longrightarrow [[S^2 A, B]].$$

**Theorem 3.1** *One has*

$$M \circ \widetilde{CH} = Bott_1; \quad \widetilde{CH} \circ M = Bott_2.$$

**Proof.** We start with  $M \circ \widetilde{CH} = Bott_1$ . Let  $H$  be the standard Hilbert  $C^*$ -module over  $B$ ,  $H = B \otimes l_2(\mathbf{N})$ . Put  $\mathcal{H} = \oplus_{n \in \mathbf{N}} H_n$ , where every  $H_n$  is a copy of  $H$ . We identify the  $C^*$ -algebra of compact (resp. adjointable) operators on both  $H$  and  $\mathcal{H}$  with  $B$  (resp.  $M(B)$ ). Instead of writing formulas in  $Q(B)$  we will write them in  $M(B)$  and understand them modulo compacts.

Let  $\varphi = (\varphi_n)_{n \in \mathbf{N}} : A \longrightarrow Q(B)$  represent an element  $[\varphi] \in \text{Ext}_{discr}^{as}(A, B)$  and let  $\varphi'_n : A \longrightarrow M(B)$  be liftings for  $\varphi_n$  as in Lemma 2.2.

If  $a_n : H_n \longrightarrow H_n$  is a sequence of operators then we write  $(a_1 \oplus a_2 \oplus a_3 \oplus \dots)$  for their direct sum acting on  $\mathcal{H} = \oplus_{n \in \mathbf{N}} H_n$ . In what follows we use a shortcut

$$\alpha(u_n)\varphi'_n(a) = a_n.$$

Let  $T$  be the right shift on  $\mathcal{H}$ ,  $T : H_n \longrightarrow H_{n+1}$ .

Remind that  $\alpha_0$  is a generator for  $C_0(0, 1)$  and that it is sufficient to define asymptotic homomorphisms on the elements of the form  $\alpha \otimes a \otimes \alpha_0 \in S^2 A$ .

The composition map  $M \circ \widetilde{CH}(\varphi)_n : S^2 A \longrightarrow Q(B)$  acts by

$$M \circ \widetilde{CH}(\varphi)_n(\alpha \otimes a \otimes \alpha_0) = (a_n \oplus a_n \oplus a_n \oplus \dots)(T - 1)$$

modulo compacts on  $\mathcal{H}$ .

Let

$$v_n = (\mathbf{v}_n^1 \oplus \mathbf{v}_n^2 \oplus \mathbf{v}_n^3 \oplus \dots) \in M(B_1 \otimes \mathcal{K})$$

and

$$\lambda_n = (\lambda_n^1 \oplus \lambda_n^2 \oplus \lambda_n^3 \oplus \dots) \in M(B_1 \otimes \mathcal{K})$$

be a direct sum of diagonal operators  $\mathbf{v}_n^i = \text{diag}\{v_n^i, v_n^i, v_n^i, \dots\}$ ,  $v_n^i \in B_1$ , and a direct sum of scalar operators,  $\lambda_n^i \in \mathbf{R}$ , ( $\mathbf{v}_n^i$  and  $\lambda_n^i$  act on  $H_i$ ). Let the numbers  $\lambda_n^i$  satisfy the properties

- i)  $\lambda_n^1 = 0$  and  $\lim_{i \rightarrow \infty} \lambda_n^i = 1$  for every  $n$ ;
- ii)  $\lim_{n \rightarrow \infty} \sup_i |\lambda_n^{i+1} - \lambda_n^i| = 0$ ;
- iii)  $\lim_{n \rightarrow \infty} \sup_i |\lambda_{n+1}^i - \lambda_n^i| = 0$ .

We assume that the elements  $v_n^i$  are selfadjoint and satisfy the following properties:

- i)  $\lim_{n \rightarrow \infty} \sup_i \|v_n^{i+1} - v_n^i\| = 0$ ;
- ii)  $\lim_{n \rightarrow \infty} \sup_i \|v_{n+1}^i - v_n^i\| = 0$ ;
- iii) there exists a set of scalars  $\lambda_n^i \in \mathbf{R}$ ,  $n, i \in \mathbf{N}$ , satisfying the conditions i) – iii) above and such that

$$\lim_{n \rightarrow \infty} \|(v_n^i - \lambda_n^i)b\| = 0 \quad (8)$$

for every  $i$  and for every  $b \in B_1$ .

Let  $p$  be a projection onto the first coordinate in  $H = B_1 \otimes l_2(\mathbf{N})$  and let  $P = (p \oplus p \oplus p \oplus \dots)$ . Then  $P\lambda_n^i = \text{diag}\{\lambda_n^i, 0, 0, \dots\}$  and the map  $\beta_n$  (7) can be written as

$$\beta_n(\alpha \otimes \alpha_0) = P \cdot \alpha(\lambda_n) \cdot (T - 1) \in 1_{B_1} \otimes \mathcal{K} \subset M(B_1 \otimes \mathcal{K})$$

and the map  $Bott_1(\varphi) : S^2A \longrightarrow Q(B)$  can be written in the form

$$(Bott_1(\varphi))_n(\alpha \otimes a \otimes \alpha_0) = \left( \alpha(\lambda_n^1)\varphi'_n(a) \oplus \alpha(\lambda_n^2)\varphi'_n(a) \oplus \alpha(\lambda_n^3)\varphi'_n(a) \oplus \dots \right)(T - 1).$$

Consider also the path of asymptotic homomorphisms  $(\Phi_n(t))_{n \in \mathbf{N}}$ ,  $t \in [0, 1]$ , given by the formula

$$\Phi_n(t)(\alpha \otimes a \otimes \alpha_0) = \left( \alpha(\mathbf{v}_n^1(t))\varphi'_n(a) \oplus \alpha(\mathbf{v}_n^2(t))\varphi'_n(a) \oplus \alpha(\mathbf{v}_n^3(t))\varphi'_n(a) \oplus \dots \right)(T - 1),$$

where for every  $i$   $v_n^i(t)$  is a piecewise linear path connecting  $v_n^i(\frac{1}{k}) = v_{n-1+k}^i$ ,  $k \in \mathbf{N}$ , and  $v_n^i(0) = \lambda_n^i$ . In view of (8) it is easy to check that  $\Phi_n(t)$  is a homotopy connecting the asymptotic homomorphisms  $(Bott_1(\varphi))_n$  and  $\Phi_n = \Phi_n(0)$ .

One of the obvious choices for  $v_n^i$  is to put  $(v_n^i)^{i \in \mathbf{N}} = (0, \frac{1}{n}, \frac{2}{n}, \dots, 1, 1, \dots)$ . But for our purposes it is better to use another choice. We take  $v_n^i = u_i^n$  for all  $n$  and  $i$ . Lemma 2.3 ensures that the properties i) – iii) are satisfied.

Now we have to connect the asymptotic homomorphisms  $(Bott_1(\varphi))_n$  and  $(M \circ \widetilde{CH}(\varphi))_n$  by a homotopy in the class of asymptotic homomorphisms. In fact we are going to do more and to connect each of these asymptotic homomorphisms with a genuine homomorphism  $f : S^2A \longrightarrow Q(B)$  defined modulo compacts by

$$f(\alpha \otimes a \otimes \alpha_0) = (a_1 \oplus a_2 \oplus a_3 \oplus \dots) \cdot (T - 1),$$

$\alpha \in C_0(0, 1)$ ,  $a \in A$ . Lemma 2.3 ensures that  $f$  is indeed a homomorphism.



At first we connect  $f$  with  $(M \circ \widetilde{CH}(\varphi))_n$  by a path  $F_n(t)$ ,  $t \in [0, 1]$ . Let  $F_n(1) = (M \circ \widetilde{CH}(\varphi))_n$ . Denote  $\alpha(u_n)\varphi'_n(a)$  by  $a_n$  and put (modulo compacts)

$$F_n\left(\frac{1}{2}\right)(\alpha \otimes a \otimes \alpha_0) = \left(\underbrace{a_n \oplus \dots \oplus a_n}_{n \text{ times}} \oplus a_{n+1} \oplus a_{n+1} \oplus a_{n+1} \dots\right)(T-1),$$

$$F_n\left(\frac{1}{3}\right)(\alpha \otimes a \otimes \alpha_0) = \left(\underbrace{a_n \oplus \dots \oplus a_n}_{n \text{ times}} \oplus a_{n+1} \oplus a_{n+2} \oplus a_{n+2} \oplus \dots\right)(T-1),$$

etc. Finally put

$$F_n(0)(\alpha \otimes a \otimes \alpha_0) = \left(\underbrace{a_n \oplus \dots \oplus a_n}_{n \text{ times}} \oplus a_{n+1} \oplus a_{n+2} \oplus a_{n+3} \oplus \dots\right)(T-1)$$

and connect  $F_n(1)$ ,  $F_n(\frac{1}{2})$ ,  $F_n(\frac{1}{3})$ ,  $\dots$  and  $F_n(0)$  by a piecewise linear path  $F_n(t)$ ,  $t \in [0, 1]$ .

It is easy to see that for every  $t > 0$  the sequence  $(F_n(t))_{n \in \mathbf{N}}$  is an asymptotic homomorphism. And as the maps  $F_n(0)$  and  $f$  differ by compacts, so they coincide as homomorphisms into  $Q(B)$ . Continuity in  $t$  is also easy to check. So the asymptotic homomorphism  $(M \circ \widetilde{CH}(\varphi))_{n \in \mathbf{N}}$  is homotopic to the homomorphism  $f$ .

Now we are going to construct a homotopy  $F'_n(t)$ ,  $t \in [0, 1]$ , which connects  $f$  with  $(Bott_1(\varphi))_{n \in \mathbf{N}}$ .

For each  $k \in \mathbf{N}$  consider the following sequence  $(\mathbf{u}_n^k)_{n \in \mathbf{N}}$  of diagonal operators, each of which acts on the corresponding copy of  $H = H_n$  in their direct sum  $\mathcal{H}$ :

$$\mathbf{u}_n^k = \text{diag}\{u_n^1, u_n^2, \dots, u_n^{k-1}, u_n^k, u_n^k, u_n^k \dots\}.$$

Put  $u_n(\frac{1}{k}) = \mathbf{u}_n^k$ ,  $u_n(0) = u_n$  and connect them by a piecewise linear path  $u_n(t)$ ,  $t \in [0, 1]$ . Then we get a strictly continuous path of operators  $u_n(t)$ , which gives a homotopy

$$F'_n(t)(\alpha \otimes a \otimes \alpha_0) = \left(\underbrace{a_{1,n}(t) \oplus \dots \oplus a_{n,n}(t)}_{n \text{ times}} \oplus a_{n+1,n+1}(t) \oplus a_{n+2,n+2}(t) \oplus \dots\right)(T-1),$$

where  $a_{i,n}(t) = \alpha(u_i(t))\varphi'_n(a)$ .

As

$$\Phi_n(\alpha \otimes a \otimes \alpha_0) = (a_{1,n}(1) \oplus a_{2,n}(1) \oplus a_{3,n}(1) \oplus \dots)(T-1),$$

so for every  $\alpha \otimes a$  one has

$$\lim_{n \rightarrow \infty} \|F'_n(1)(\alpha \otimes a \otimes \alpha_0) - \Phi_n(\alpha \otimes a \otimes \alpha_0)\| = 0,$$

hence the asymptotic homomorphisms  $F'_n(1)$  and  $\Phi_n$  are equivalent. But we already know that  $\Phi_n$  is homotopic to  $Bott_1(\varphi)_n$ . On the other hand, it is easy to see that  $F'_n(0)$  coincides with  $f$  modulo compacts, so we can finally conclude that  $M \circ \widetilde{CH} = Bott_1$  up to homotopy.

The second identity of Theorem 3.1 is much simpler to prove. For  $\psi = (\psi_n)_{n \in \mathbf{N}} : A \longrightarrow B$  we have (modulo compacts)

$$M(\psi)_n(\alpha_0 \otimes a) = (\psi_1(a) \oplus \psi_2(a) \oplus \psi_3(a) \oplus \dots)(T-1), \quad a \in A.$$

But as every  $\psi_n(a) \in B_1 \otimes \mathcal{K}$ , i.e. is compact, so when choosing a quasicontral approximate unit  $\{w_n\}_{n \in \mathbf{N}}$  for the map  $(M(\psi))_{n \in \mathbf{N}}$  we can define it by

$$w_n = (\mathbf{w}_1^{(n)} \oplus \mathbf{w}_2^{(n)} \oplus \mathbf{w}_3^{(n)} \oplus \dots),$$

where each  $\mathbf{w}_i^{(n)}$  is a finite rank diagonal operator of the form

$$\mathbf{w}_i^{(n)} = \text{diag}\{\underbrace{\lambda_i b_n, \dots, \lambda_i b_n}_{m_n \text{ times}}, 0, 0, \dots\}$$

for some numbers  $(m_n)_{n \in \mathbf{N}}$ , where  $(b_n)_{n \in \mathbf{N}}$  is a quasicontral approximate unit for  $B_1$  and the scalars  $\lambda_i$  are defined by

$$\lambda_i = \begin{cases} \frac{n-i+1}{n} & \text{for } i < n, \\ \lambda_i = 0 & \text{for } i \geq n. \end{cases}$$

But after such a choice of  $w_n$  the map  $(\widetilde{CH} \circ M)(\psi)_n$  differs from the map  $Bott_2(\psi)_n$  only by the presence of  $b_n$ , hence these maps are equivalent.  $\square$

## 4 Case of $A$ being a suspension

As there exists a homomorphism  $C_0(\mathbf{R}) \longrightarrow C_0(\mathbf{R}^3) \otimes M_2$  that induces an isomorphism in  $K$ -theory and an asymptotic homomorphism  $C_0(\mathbf{R}^3) \longrightarrow C_0(\mathbf{R}) \otimes \mathcal{K}$ , which are inverse to each other, so the groups  $[[SA, B]]$  and  $[[S^3A, B]]$  are naturally isomorphic to each other and the same is true for the groups  $\text{Ext}^{as}(SA, B)$  and  $\text{Ext}^{as}(S^3A, B)$ . Hence we obtain

**Corollary 4.1** *If  $A$  is a suspension then the map  $\widetilde{CH} : \text{Ext}^{as}(A, B) \longrightarrow [[SA, B]]$  is an isomorphism.*  $\square$

It was proved in [11] that if  $A$  is a suspension then the map

$$CH : \text{Ext}(A, B) \longrightarrow [[SA, B]]$$

is surjective and the group  $[[SA, B]]$  is contained in  $\text{Ext}(A, B)$  as a direct summand. Hence from Corollary 4.1 we immediately obtain

**Corollary 4.2** *Let  $A$  be a suspension. Then*

- i) the map  $i : \text{Ext}(A, B) \longrightarrow \text{Ext}^{as}(A, B)$  is surjective, hence every asymptotic extension  $\varphi = (\varphi_t)_{t \in [1, \infty)} : A \longrightarrow Q(B)$  is homotopic to a genuine extension;*
- ii) the group  $\text{Ext}^{as}(A, B)$  is contained in  $\text{Ext}(A, B)$  as a direct summand.*  $\square$

**Problem 4.3** Is Corollary 4.2 true when  $A$  is not a suspension ?

For  $C^*$ -algebras  $A$  and  $B$  consider the set of all extensions  $f : A \longrightarrow Q(B)$  that are homotopy trivial as asymptotic homomorphisms and denote by  $\text{Ext}^{ph}(A, B)$  the set of homotopy classes of such homomorphisms. As usual this set becomes a group when  $A$  is a suspension. We call the elements of  $\text{Ext}^{ph}(A, B)$  *phantom* extensions because they constitute the part in  $\text{Ext}(A, B)$  which vanishes under the suspension map  $S : \text{Ext}(A, B) \longrightarrow \text{Ext}(SA, SB)$ , cf. [6].

**Corollary 4.4** *If  $A$  is a second suspension then there is a natural decomposition*

$$\text{Ext}(A, B) = \text{Ext}^{ph}(A, B) \oplus \text{Ext}^{as}(A, B). \quad \square$$

**Remark 4.5** If  $A$  is both a nuclear  $C^*$ -algebra and a suspension then the groups  $\text{Ext}(A, B)$  and  $[[A, B]]$  coincide [8], therefore there is a one-to-one correspondence between homotopy classes of genuine and asymptotic homomorphisms into the Calkin algebras  $Q(B)$  and one has  $\text{Ext}^{ph}(A, B) = 0$ .

**Problem 4.6** Does there exist a separable  $C^*$ -algebra  $A$  such that the  $\text{Ext}^{ph}(A, B)$  is non-zero for some  $B$  ?

Our definition of homotopy in  $\text{Ext}^{as}(A, B)$  is weaker than the homotopy of asymptotic homomorphisms in  $[[A, Q(B)]]$ , so there is a surjective map

$$p : [[A, Q(B)]] \longrightarrow \text{Ext}^{as}(A, B). \quad (9)$$

It would be interesting to compare the composition  $\widetilde{CH} \circ p$  with the would-be boundary map  $[[A, Q(B)]] \longrightarrow [[SA, B]]$  which would exist if the exact sequences of the  $E$ -theory could be generalized to the non-separable short exact sequence  $B \longrightarrow M(B) \longrightarrow Q(B)$ .

**Problem 4.7** Is the map  $p$  (9) injective ?

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